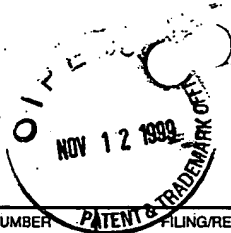


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**UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/385,394	08/30/99	YATES	J 30585/3

DAVID E BOUNDY  
SHEARMAN & STERLING  
599 LEXINGTON AVENUE  
NEW YORK NY 10022

0242/0929

NOT ASSIGNED

2751

DATE MAILED:

09/29/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: \_\_\_\_\_

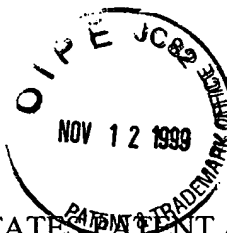
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*K. Nelson*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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PATENT

DOCKET NO. 30585/3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John S. Yates, Jr. et al.

Serial No.: 09/385,394

Art Unit: 2751

Filed: August 30, 1999

Examiner: unassigned

Title: COMPUTER FOR EXECUTING TWO DIFFERENT INSTRUCTION SETS

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

ASSISTANT COMMISSIONER FOR PATENTS

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Washington, D.C. 20231

I hereby certify that the attached

1. Checks in the amount of \$130 and \$130
2. Return postcard
3. This Certificate of Mailing
4. Form PTO-1533, Notice to File Missing Parts
5. Executed Declaration and Power of Attorney
6. PETITION TO CORRECT INVENTORSHIP
7. Declaration of Inventor

along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Respectfully submitted,

SHEARMAN &amp; STERLING

Dated: November 9, 1999By: David E. BoundyDavid E. Boundy  
Registration No. 36,461

Mailing Address:

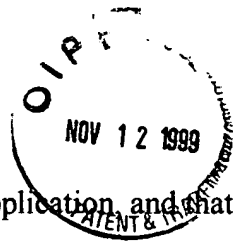
SHEARMAN &amp; STERLING.

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inventions claimed in this application, and that the error in failing to name me inventor arose without any deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or Imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 9/22/99

By: [Signature]

Paul H. Hohensee

~~Express Mail Label Nr. EI302585921US~~  
~~Atty. Docket No. 30585/21~~

DECLARATION AND POWER OF  
ATTORNEY

Each one of us, John S. Yates, David L. Reese, and Korbin S. Van Dyke, hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name;

I verily believe that we are the original, first, and joint inventors of the invention entitled SAFETY NET PARADIGM FOR MANAGING TWO COMPUTER EXECUTION MODES, which is described and claimed in the attached specification;

I acknowledge my duty to disclose information that is material to the examination of the application in accordance with 37 C.F.R. § 1.56(a) and acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) that occurred between the filing date(s) of our earlier filed U.S. parent application(s) listed below, and the filing date of this continuation-in-part application;

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

This application discloses and claims subject matter disclosed in our earlier filed pending applications, Serial No. 09/322,443 filed May 28, 1999, and 09/239,194, filed January 28, 1999.